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To: Commissioner for Patents for Examiner John M. Winter Group Art Unit 3621	Facsimile No. 571/273-8300
From: Dell Whitton Paralegal to Duke W. Yee	No. of Pages Including Cover Sheet: 48
<p>Enclosed herewith:</p> <ul style="list-style-type: none">• Transmittal document;• Response to Notice of Non-Compliant Amendment;• Copy of Notice of Non-Compliant Amendment;• Copy of Appeal Brief as filed via facsimile on January 31, 2005;• Copy of the USPTO Auto-Reply Facsimile Transmission dated January 31, 2005; and• Copy of Image File Wrapper from the USPTO PAIR system. <p>Thank you.</p>	
Re: Application Serial No. 09/877,157 Attorney Docket No. RSW920000172US1	
Date: Thursday, January 19, 2006	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bleizeffer et al.

Serial No.: 09/877,157

Filed: June 8, 2001

For: Interface for Creating Privacy
Policies for the P3P Specification

§ Group Art Unit: 3621

§

§ Examiner: Winter, John M.

§

§ Attorney Docket No.: RSW920000172US1

§

Certificate of Transmission Under 37 C.F.R. § 1.8(a)

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By: 

Dell Whitton

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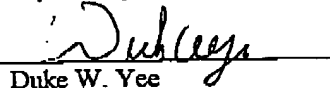
Sir:

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- Response to Notice of Non-Compliant Amendment;
- Copy of Notice of Non-Compliant Amendment;
- Copy of Appeal Brief as filed via facsimile on January 31, 2005;
- Copy of the USPTO Auto-Reply Facsimile Transmission dated January 31, 2005;
- Copy of Image File Wrapper from the USPTO PAIR system; and
- Our return postcard.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0461. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0461.

Respectfully submitted,



Duke W. Yee

Registration No. 34,285

YEE & ASSOCIATES, P.C.

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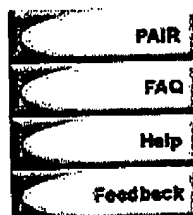
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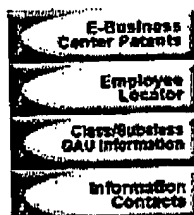


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PATENT APPLICATION INFORMATION RETRIEVAL

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**Image File Wrapper for Application No.: 09/877,157****NEW** Changes to IFW!

This application is officially maintained in electronic form. To View: Click the desired Document Description. To Download the desired document(s) and click Download.

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09/23/2005	Reply Brief Noted - BPAI	PROSECUTION	2	
06/28/2005	Reply Brief Filed	PROSECUTION	5	
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06/08/2001	Fee Worksheet (PTO-875)	PROSECUTION	1
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/877,157	06/08/2001	Terry Michael Reizeffer	RSW920000172US1	1012

7390 01/04/2006

Gerald R. Woods
 IBM Corporation
 TR/503
 PO Box 12195
 Research Triangle Park, NC 27709

EXAMINER
WINTER, JOHN M

ART UNIT	PAPER NUMBER
3621	

DATE MAILED: 01/04/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

CLIENT DOCKET INFORMATION

Client Name: RSW920000172US1

File No.

DATE

ACTION DOCKETED

02-04-06 response to Notification
of Non-Compliance
Appeal Brief

Docketed By: MRS

Date: 01-09-06

Checked By: MRS

Date: 01-09-06

Initials: J

Date: 11-21-05

Notification of Non-Compliant Appeal Brief (37 CFR 41.37)	Application No. 09/877,157	Applicant(s) BLEIZEFFER ET AL.	
	Examiner John M. Winter	Art Unit 3621	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on 28 June 2005 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.
EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

1. ☒ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☐ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☐ Other (including any explanation in support of the above items):

Application/Control Number: 09/877,157
Art Unit: 3621

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DETAILED ACTION

Defective Appeal Brief

Effective July 30, 2003, the brief must contain the following items

IX. Evidence Appendix

X. Related proceedings appendix.

The Appeal Board is now returning the Appeal Briefs when the two appendixes are missing from the brief. It is recommended that when there is no evidence or decisions to be entered, the Applicant state so under the proper appendix.

Conclusion

Any inquiry of a general nature or relating to the status of this application or concerning this communication or earlier communications from the examiner should be directed to John Winter whose telephone number is (571) 272-6713. The Examiner can normally be reached on Monday-Friday, 9:30am-5:00pm. If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, James Trammell can be reached at (571) 272-6712.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://portal.uspto.gov/external/portal/pair>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). Any response to this action should be mailed to:

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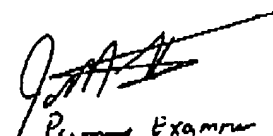
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Hand delivered responses should be brought to the Examiner in the Knox Building, 50 Dulany St. Alexandria, VA.

Application/Control Number: 09/877,157
Art Unit: 3621

Page 3

JMW
December 27, 2005


Primary Examiner
Au 3621

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From: Carle Parker Legal Assistant to Wayne Bailey	No. of Pages (including Cover Sheet): 35
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Re: Application No. 09/877,157 Amended Docket No. RSW920006172US1 Date: Monday, January 31, 2005	
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